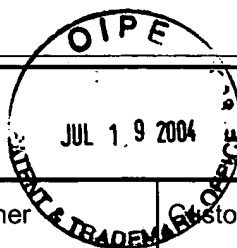


TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(d))

Docket No.
02410252AA

In Re Application Of: Kittaka et al.



Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
09/942,663	08/31/2001	G. Stock, Jr.	30743	2877	7814

Title: **OPTICAL DEVICE AND SPECTROSCOPIC AND POLARIZATION SEPARATING APPARATUS USING THE SAME**

Address to:
Commissioner for Patents

The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(c), and on or before payment of the issue fee, and is accompanied by the Statement as specified in 37 CFR 1.97(e) and the fee set forth in 37 CFR 1.17(p).

☒ A check in the amount of \$180.00 is attached.

☒ Charge Deposit Account No. 50-2041

in the amount of Deficiencies

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F
(Date)
Signature
Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
Signature of Person Mailing Correspondence
Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

Signature

Michael E. Whitham
Reg. No. 32,635

Dated: July 19, 2004

Whitham, Curtis & Christofferson, P.C.
11491 Sunset Hills Road, Suite 340
Reston, VA 20190
(703) 787-9400
Customer Number 30743

CC:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of
Kittaka et al.

Serial No.: 09/942,663

Filed: ~~Aug~~ 31, 2001

For: OPTICAL DEVICE AND SPECTROSCOPIC AND POLARIZATION
SEPARATING APPARATUS USING THE SAME



Group Art Unit: 2877

Examiner: G. Stock, Jr.

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Under the provisions of 37 C.F.R. 1.97 through 1.99 and pursuant to applicant's duty of disclosure under 37 C.F.R. 1.56, applicant respectfully brings the following documents, listed on the attached form PTO-1449, to the attention of the Examiner in charge of the above-identified application. A copy of the listed documents is provided for the convenience of the Examiner.

This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicant is aware.

This statement shall not be construed as a representation that a search has been made or that no other art than that identified exists.

It is respectfully requested that the listed references be considered by the Examiner and formally made of record in this application.

A check in the amount of \$180.00 to cover the fee as specified by 37 C.F.R. 1.17(p) is enclosed.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Michael E. Whitham".

Michael E. Whitham
Reg. No. 32,635

07/20/2004 CCHAUI 00000162 09942663

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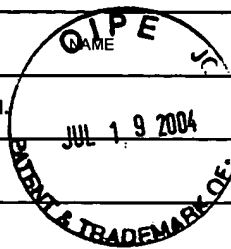
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INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>	ATTY DOCKET NO. 02410252AA	APPLICATION NO. 09/942.663
	APPLICANT(S) Kittaka et al.	
	FILING DATE 08/31/2001	GROUP ART UNIT 2877

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,674,954	01/06/2004	Baba et al.			



U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	2003/0100431	05/29/2003	Koyo et al.			
	2003/0184845	10/02/2003	Kittaka et al.			
	2003/0174402	09/18/2003	Kittaka et al.			
	2003/0076598	04/24/2003	Kittaka et al.			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)	ATTY DOCKET NO. 02410252AA	APPLICATION NO. 09/942,663
	Kittaka et al.	
	FILING 08/31/2001	GROUP ART 2877

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

U.S. PATENT APPLICATION PUBLICATIONS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		2002/0140929	10/03/2002	Kittaka et al.			
		2002/0122613	09/05/2002	Kittaka et al.			

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			

EXAMINER	DATE CONSIDERED
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.